

Investment Advisor's Name & Code	Sub-Broker's Code	EUN (Mandatory)	Systematic Transfer / Withdrawal Form Strike off sections that are not applicable
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☐ "I/We hereby confirm that the EUN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker."

 Sole/Frist Applicant	 Second Applicant	 Third Applicant
To be signed by All Applicants if mode of operation is "Joint"		

Upfront commission shall be paid directly by the investor to the AMFI registered distributors based on the investor's assessment of various factors including the service rendered by the distributor.

Investor's Information

Folio No. (For Existing Investors)		Application No. (For New Investors, Please attach the application form)	
Name of Sole/ First Holder			
PAN # & KYC*	Sole/ First Holder	Second Holder	Third Holder
Enclosed (Please ✓)	☐ PAN Proof KYC Compliance Status ☐ Yes ☐ No	☐ PAN Proof KYC Compliance Status ☐ Yes ☐ No	☐ PAN Proof KYC Compliance Status ☐ Yes ☐ No
(# Please attach PAN card copy) (* KYC Acknowledgement letter copy is Mandatory)			

I would like to opt for ☒ **Systematic Transfer Plan** ☐ **Systematic Withdrawal Plan**

Systematic Transfer Plan

From	☐ Growth	☐ Bonus (available only in Kotak Bond Plan A & Kotak Liquid Plan A)	
Scheme _____ Plan _____	☐ Dividend	☐ Payout OR ☐ Re-investment	
	Option (Please ✓) Dividend Frequency _____		
To	☐ Growth	☐ Bonus (available only in Kotak Bond Plan A & Kotak Liquid Plan A)	
Scheme _____ Plan _____	☐ Dividend	☐ Payout OR ☐ Re-investment	
	Option (Please ✓) Dividend Frequency _____		
Transfer Option (Please ✓) ☐ Fixed Sum OR ☐ Entire Appreciation	Min. Rs. 1000/-		
Frequency (Please ✓) ☐ Weekly ☐ Monthly ☐ Quarterly	Commencement Date dd/mm/yy		
Specify Day / Date	Period From mm/yyyy To mm/yyyy		

Systematic Withdrawal Plan

Scheme _____ Plan _____	☐ Growth	☐ Bonus (available only in Kotak Bond Plan A & Kotak Liquid Plan A)	
	☐ Dividend	☐ Payout OR ☐ Re-investment	
	Option (Please ✓) Dividend Frequency _____		
Withdrawal Option (Please ✓) ☐ Fixed Sum OR ☐ Entire Appreciation	Min. Rs. 1000/-		
Frequency (Please ✓) ☐ Monthly ☐ Quarterly	Commencement Date dd/mm/yy		
Date ☐ 1 st ☐ 7 th ☐ 14 th ☐ 21 st ☐ 25 th	Period From mm/yyyy To mm/yyyy		

Declaration and Signatures

I/We have read and understood the contents of the SID/ SAI of the above referred Scheme(s) of Kotak Mahindra Mutual Fund. I/We hereby apply for allotment / purchase of Units in the Scheme(s) indicated as above and agree to abide by the terms and conditions applicable there to. I/We hereby declare that I/We authorized to make this investment in the above mentioned Scheme(s) and that the amount invested in the Scheme(s) is through legitimate sources only and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions of the provisions of Income Tax Act, Anti Money Laundering Act, Anti Corruption Act or any other applicable laws enacted by the Government of India from time to time. I/We hereby authorize Kotak Mahindra Mutual Fund, its investment Manager and its agents to disclose details of my investment to my /our Investment Advisor and /or banks.

I/We have neither received nor been induced by any rebate or gifts, directly, in making this investment.

 Sole/Frist Applicant	 Second Applicant	 Third Applicant
To be signed by All Applicants if mode of operation is "Joint"		