

☐ STP ☐ SWP

Official Acceptance Point Stamp & Sign

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(To be signed by All Applicants if mode of operation is Joint)

D. INSTRUCTIONS - SYSTEMATIC TRANSFER PLAN (STP)

- Minimum Amount: A) Daily Plan : Minimum 20 transfers of ₹ 500/- each and in multiples of ₹ 100/- thereafter.
B) Weekly / Monthly / Quarterly Plan : For STP installments greater than ₹ 500 but less than ₹ 999, Investors are required to instruct for minimum 12 transfers of ₹ 500 and in multiples of ₹ 1 thereafter.
For STP installments greater than ₹ 1000 and above, Investors are required to instruct for minimum 6 transfers of ₹ 1000 and in multiples of ₹ 1 thereafter.
2. Minimum balance in the Scheme at the time of enrolment for any STP Facility: DailySTP ₹ 10,000/-, WeeklySTP ₹ 6,000/-, MonthlySTP ₹ 6,000/- Quarterly STP ₹ 8,000/-
3. STP is not available for investments under lock-in period.
4. Transfer Frequency available for STP are Daily, Weekly, Monthly and Quarterly
5. Transfer Dates:
Daily STP: The commencement date for transfers shall be the 15th business day (or the next business day, if that day is a non business day) from the date of receipt of a valid request. STP transfers shall be effected on all business days at NAV based prices, subject to applicable load. Thus, in the event of an intervening non-business day STP triggers will take place to the next business day and accordingly the end date to trigger all installment mentioned by the investor shall be extended i.e., For e.g. if the investor has opted for 100 installments and if 3 non-business days happen to occur in the intervening period, then STPs will be triggered for all the 100 installments.
- Weekly Systematic Transfer Plan:** Transfers shall be on Monday, Tuesday, Wednesday, Thursday or Friday of each week.
- Monthly Systematic Transfer Plan:** Transfers can be on 1 or 7 or 10 or 14 or 20 or 21 or 28 of each month for minimum 6 transfers.
- Quarterly Systematic Transfer Plan:** Transfers can be on 1 or 7 or 10 or 14 or 20 or 21 or 28 of each quarter for minimum 4 quarters.
6. **Fast Forward Facility:** Investors availing monthly STP facility can opt for multiple dates, maximum upto any four dates within a month and in this case the dates can be dated 1st and /or 7th and /or 10th and /or 14th and /or 20th and /or 21st and /or 28th of each month.
7. **Default Dates:**
Daily STP: In case of any ambiguity in selection of transfer frequency, the STP date will be 7 of each month / quarter. In case where more than 4 dates are specified, default dates will be 7, 14, 21 & 28 of each month.
Weekly: In case of any ambiguity in selection of transfer frequency, the STP day will be as 'Wednesday'.
8. Minimum Application Amount specified in the SID(s) of the respective designated Transferee Scheme(s) will not be applicable for STP registration.
9. **Daily STP**
a) There will be no maximum limit on number of transfers/duration for Daily STP enrolment. In case, the investor fails to specify the number of transfers/duration under Daily STP, transfers shall continue to be triggered perpetually or until further valid instructions from the investor until the outstanding balance in "Out" scheme does not cover the Daily STP transfer amount. The minimum amount for fresh/additional purchases as per subscription rules of "In" scheme shall not be applicable to Daily STP triggers.
b) Daily STP shall not be available under Monthly Systematic Transfer Facility (STP).
- c) Only one Daily STP would be allowed per Plan/Option of the Source Scheme into a distinct Target Scheme. For e.g.: In case Daily STP exists from 'Scheme A-Growth option' into 'Scheme B-Growth
10. **Value STP**
a. Value STP is a facility wherein Unit holder(s) can opt to transfer variable amounts into the Transferee Scheme from the Transferor Scheme on the date of transfer at predetermined intervals. The amount to be transferred will be arrived at on the basis of the difference between the Investment Value [(first installment amount) X (number of installments; including the current installment)] and the actual Market Value of the holdings in the Transferee Scheme on the date of transfer.
- b. The first Value STP installment will be processed for the first installment amount specified by the Unitholder at the time of enrolment. From the second Value STP installment onwards, the transfer amount may be higher or lower than the first installment amount, as derived by the formula stated below:
$$[[\text{First installment amount}] \times (\text{Number of installments including the current installment})] - (\text{Market Value of the investments through Value STP in the Transferee Scheme on the date of transfer})$$
- c. On the date of transfer, if the market value of the investments in the Transferee Scheme through Value STP is higher than the Investment Value for that month $[(\text{First installment amount}) \times (\text{number of installments; including the current installment})]$, then there would be no transfer effected from the Transferor Scheme.
- d. If the amount of transfer as calculated by the above formula is less than Rs.500, then the default amount of Rs. 500 will be transferred to the Transferee Scheme. However, in case of redemption or switch-out of Units allotted under Value STP in the Transferee Scheme, the balance installments under Value STP will be processed for the fixed installment amount only as specified by the Unitholder at the time of enrolment.
2. Minimum balance in the Scheme at the time of enrolment for any STP Facility: DailySTP ₹ 10,000/-, WeeklySTP ₹ 6,000/-, MonthlySTP ₹ 6,000/- Quarterly STP ₹ 8,000/-
3. STP is not available for investments under lock-in period.
4. Transfer Frequency available for STP are Daily, Weekly, Monthly and Quarterly
5. Transfer Dates:
Daily STP: the commencement date for transfers shall be the 5th business day (or the next business day, if that day is a non business day) from the date of receipt of a valid request. Thereafter, transfers shall be effected on all business days at NAV based prices, subject to applicable exit load. Thus, in the event of an intervening non-business day STP triggers will not take place and consequently the total number of Daily STP installments opted by the investor will be adjusted to that extent i.e., For eg. if the investor has opted for 100 installment and if 3 non-business days happen to occur in the intervening period, then only 97 Daily STP installments shall be triggered.
- Weekly Systematic Transfer Plan:** Transfers shall be on Monday, Tuesday, Wednesday, Thursday or Friday of each week.
- Monthly Systematic Transfer Plan:** Transfers can be on 1 or 7 or 10 or 14 or 20 or 21 or 28 of each month for minimum 6 transfers.

Quarterly Systematic Transfer Plan: Transfers can be on 1 or 7 or 10 or 14 or 20 or 21 or 28 of each quarter for minimum 4 quarters.

Fast Forward Facility: Investors availing monthly STP facility can opt for multiple dates, maximum upto any four dates within a month and in this case the dates can be dated 1st and / or 7th and / or 10th and/or 14th and/or 20th and / or 21st and / or 28th of each month.

Default Dates:

Monthly/Quarterly: In case of any ambiguity in selection of transfer frequency, the STP date will be 7 of each month / quarter. In case where more than 4 dates are specified, default dates will be 7, 14, 21 & 28 of each month.

Weekly: In case of any ambiguity in selection of transfer frequency, the STP day will be as 'Wednesday'.

In minimum Application Amount* specified in the SID(s) of the respective designated Transferee Scheme(s) will not be applicable for STP registration.

Daily STP

a) There will be no maximum limit on number of transfers/duration for Daily STP enrolment. In case, the investor fails to specify the number of transfers/duration under Daily STP, transfers shall continue to be triggered perpetually or until further valid instructions from the investor until the outstanding balance in 'Growth' scheme does not cover the Daily STP transfer amount. The minimum amount for fresh/additional purchases as per subscription rules of 'In' scheme shall not be applicable under Daily STP triggers.

b) Daily STP shall not be available under Monthly Systematic Transfer Facility (STP) For Aditya Birla Sun Life Century SIP.

c) Only one Daily STP will be allowed per Plan/Option of the Source Scheme into a distinct Target Scheme. For e.g.: In case Daily STP exists from 'Scheme A-Growth option' into 'Scheme B-Growth

Value STP

a. Value STP is a facility wherein Unit holder(s) can opt to transfer variable amounts into the Transferee Scheme from the Transferor Scheme on the date of transfer at predetermined intervals. The amount to be transferred will be arrived at on the basis of the difference between the Investment Value [(first installment amount) X (number of instalments; including the current installment)] and the actual Market Value of the holdings in the Transferee Scheme on the date of transfer.

b. The first Value STP installment will be processed for the first installment amount specified by the Unitholder at the time of enrolment. From second Value STP installment onwards, the transfer amount may be higher or lower than the first installment amount, as derived by the formula stated below:
[(First installment amount) X (Number of instalments including the current installment)] - (Market Value of the investments through Value STP in the Transferee Scheme on the date of transfer)

c. On the date of transfer, if the market value of the investments in the Transferee Scheme through Value STP is higher than the Investment Value for that month [(first installment amount) X (number of instalments; including the current installment)], then there would be no transfer effected from the Transferor Scheme.

d. If the amount of transfer as calculated by the above formula is less than Rs.500, then the default amount of Rs. 500 will be transferred to the Transferee Scheme. However, in case of redemption or switch-out of Units allotted under Value STP in the Transferee Scheme, the balance instalments under Value STP will be processed for the fixed installment amount only as specified by the Unitholder at the time of enrolment.

e. The total amount invested through Value STP over its tenure in the Transferee Scheme, may be higher or lower than the Total Investment Value of the investment i.e. the [(first installment amount) X (total number of instalments specified by the Unitholder)]. This may be on account of fluctuations in the market value of the Units of the Transferee Scheme.

f. The maximum amount of transfer under this option would be limited to twice the amount per transfer specified in the application form.

g. The frequencies available under this facility are as follows: Monthly & Quarterly

h. The minimum number of instalments and amount for enrolment to avail Value STP are as below:

Frequency	Trigger Dates	Minimum Value STP amount (Rs.)
Monthly	1 st , 7 th , 10 th , 14 th , 20 th , 21 st , 28 th	For STP instalments greater than ₹ 500 but less than ₹ 999, Unitholders are required to instruct for minimum 12 transfers of ₹ 500 and in multiples of ₹ 1 thereafter. For STP instalments of ₹ 1000 and above, Unitholders are required to instruct for minimum 6 transfers of ₹ 1000 and in multiples of ₹ 1 thereafter.

i. In case none of the frequencies have been selected or in case of any ambiguity, Monthly frequency shall be treated as the default frequency. If STP date is not specified or in case of any ambiguity then 7th Business Day of the month will be treated as default date.

j. In case the date of transfer falls on a Non-Business Day, the immediate next Business Day will be considered for the purpose of determining the applicability of NAV.

k. In case of valid enrolment forms received, indicating choice of option other than the Growth Option in the Transferee Scheme, it will be deemed as the Growth Option in Transferee Scheme and processed accordingly.

l. A Single Value STP Enrolment Form can be filled for transfer into one Scheme/Plan/Option only

m. Unitholder has the right to discontinue Value STP at any time he/she so desires by sending a written request at least 7 (seven) days in advance to any of the offices of the Fund or its Authorised Collection Centres.

n. Value STP will be terminated / not processed under the following circumstances: (i) On marking of lien or pledge of Units in the Transferee Scheme (ii) On receipt of intimation of death of the Unitholder.

Frequency	Trigger Dates	Minimum Value STP amount (Rs.)
Monthly	1 st , 7 th , 10 th , 14 th ,	For STP installments greater than ₹ 500 but less than ₹ 999, Unitholders are required to instruct for minimum 12 transfers of ₹ 500 and in multiples of ₹ 1 thereafter.
Quarterly	20 th , 21 st , 28 th	
		For STP installments of ₹ 1000 and above, Unitholders are required to instruct for minimum 6 transfers of ₹ 1000 and in multiples of ₹ 1 thereafter.

Acknowledgement Slip (To be filled in by the Investor)
SPECIAL FACILITIES APPLICATION FORM

[illegible]

Received from Mr. / Ms. _____ Date : ____/____/____

Collection Centre / ABSLAMC Stamp & Signature



Illustration:

Transferor Scheme:	ABSL Low Duration Fund - Growth	Amount of Transfer per Installment:	10,000
Transferee Scheme:	ABSL Frontline Equity Fund - Growth	Number of Installments:	12
Date & Frequency of Value STP :	14th of every month, Monthly	Enrolment Period :	January 2018- December 2020

Date	NAV of ABSL Low Duration Fund	No. Of Units Transferred from ABSL Low Duration Fund	No. Of Units Outstanding in ABSL Low Duration Fund	NAV of ABSL Frontline Equity Fund - Growth	Market Value of Prev Units (Rs.)	Value STP Amount (Rs.)	No. of Units to be switched to ABSL Frontline Equity Fund - Growth	Outstanding Units in ABSL Frontline Equity Fund - Growth	First Installment Amount X No of installment
14-Jan-20	330.0127	30.3019	3008.5246	157.73	10000.00	10000.00	63.3995	63.3995	10,000.00
16-Feb-20	332.1741	28.1598	2980.3648	167.92	10646.04	9,353.96	55.7049	119.1043	20,000.00
16-Mar-20	334.3433	30.8035	2949.5613	165.41	19701.05	10,298.95	62.2632	181.3675	30,000.00
15-Apr-20	336.9915	28.1082	2921.4531	168.32	30527.78	9,472.22	56.2751	237.6426	40,000.00
14-May-20	338.796	35.6188	2885.8343	159.62	37932.51	12,067.49	75.6014	313.2440	50,000.00
15-Jun-20	341.4352	31.9854	2853.8490	156.68	49079.06	10,920.94	69.7022	382.9461	60,000.00
14-Jul-20	343.6009	18.5268	2835.3221	166.17	63634.16	6,365.84	38.3092	421.2553	70,000.00
14-Aug-20	345.9945	25.5784	2809.7437	168.9	71150.03	8,849.97	52.3977	473.6530	80,000.00
14-Sep-20	347.8153	44.9699	2764.7739	156.99	74358.79	15,641.21	99.6319	573.2849	90,000.00
14-Oct-20	350.6926	22.7444	2742.0294	160.52	92023.70	7,976.30	49.6904	622.9753	1,00,000.00
16-Nov-20	352.8977	35.7511	2706.2783	156.32	97383.50	12,616.50	80.7094	703.6847	1,10,000.00
14-Dec-20	354.4235	34.2108	2672.0675	153.3	107874.87	12,125.13	79.0941	782.7789	1,20,000.00

- Calculation of Value STP amount of the second installment i.e. 16-Feb-20:
- a. First Installment Amount - ₹ 10000/-
 - b. Number of installments including the current installment is 2
 - c. Total of 63.3995 units allotted up to the date of last installment i.e. January 2020 in ABSL Frontline Equity- Growth. The NAV of ABSL Frontline Equity- Growth on February 16, 2020 is 167.92/- per unit. Hence the market value of the investment in ABSL Frontline Equity- Growth on the date of transfer is ₹ 1,0646.04 [167.92 X 63.3995].
 - d. Amount Derived for second installment Amount from the formula - [(First installment amount) X (Number of installments including the current installment)] - (Market Value of the investments through Value STP in the Transferee Scheme on the date of transfer) is - [(₹ 10000) X 2] - ₹ 10,646.04 = ₹ 9,353.96
12. Capital Appreciation Transfer Plan
- Capital Appreciation Transfer Plan is a facility wherein the Unitholders can opt for the Systematic Transfer Plan by providing instruction to transfer capital appreciation at regular intervals - Monthly or Quarterly under the open-ended Scheme(s) of the fund (except Aditya Birla Sun Life Gold ETF and Aditya Birla Sun Life Nifty ETF, Aditya Birla Sun Life SENSEX ETF, Aditya Birla Sun Life Nifty Next 50 ETF, Aditya Birla Sun Life Banking ETF.
- a. Capital Appreciation Transfer Plan is a facility wherein the Unitholders can opt for the Systematic Transfer Plan by providing instruction to transfer capital appreciation at regular intervals - Monthly or Quarterly under the open-ended Scheme(s) of the fund (except Aditya Birla Sun Life Gold ETF and Aditya Birla Sun Life Nifty ETF, Aditya Birla Sun Life SENSEX ETF, Aditya Birla Sun Life Nifty Next 50 ETF.
 - b. The transfer shall take place at a defined time on a periodic basis.
 - c. The minimum amount required to trigger instalment under Capital Appreciation Transfer Plan is ₹ 1 and in multiples of Re. 1 thereafter
 - d. The capital appreciation, if any, will be calculated from the registration date of the Capital Appreciation Transfer under the folio, till the first transfer date. Subsequent capital appreciation, if any, will be the capital appreciation between the previous successful Capital Appreciation Transfer date (where Capital Appreciation Transfer has been processed and paid) and the next Capital Appreciation Transfer date. Appreciation will be calculated on the units available for transfer at the time of the STP instalment.
 - e. Capital Appreciation Transfer Plan is offered at Monthly and Quarterly intervals. In case none of the frequencies have been selected or in case of any ambiguity, Monthly frequency shall be treated as the default Frequency. If STP date is not specified or in case of any ambiguity then 7th Business Day of the month will be treated as default date. In case the date of transfer falls on a Non-Business Day, the immediate next Business Day will be considered for the purpose of determining the applicability of NAV.
 - f. A Single Capital Appreciation Transfer Enrolment Form can be filled for transfer into only one Scheme/Plan/Option.
 - g. Unitholder has the right to discontinue Cap STP at any time he/ she so desires by sending a written request at least 7 (seven) days in advance to any of the offices of the Fund or its Authorised Collection Centres.
 - h. Capital Appreciation Transfer Plan will be terminated / not processed under the following circumstances: (i) On marking of lien or pledge of Units in the Transferor Scheme (ii) On receipt of intimation of death of the Unitholder.

E. LOAD STRUCTURE

- SWP Load Structure as applicable to respective schemes on the date of withdrawal.
- STP Exit Load at time of transfer OUT: Exit Load as applicable to respective schemes.
- Entry Load at time of transfer IN: Nil
- Exit Load at time of redemption: Exit Load as applicable to respective schemes.

ACKNOWLEDGEMENT SLIP (To be filled in by the Investor)

SPECIAL FACILITIES APPLICATION FORM

An application for SYSTEMATIC WITHDRAWAL PLAN / SYSTEMATIC TRANSFER PLAN

Scheme Name _____ Plan _____ Option _____

To Scheme Name _____ Plan _____ Option _____

Amount (₹) _____

Request for

☐ Fresh Registration

☐ Renewal