

To be submitted mandatorily: 1. Your **FATCA** (Foreign Account Tax Compliance Act) Details (if not already submitted) and 2. **Ultimate Beneficial Owner (UBO)** information (for non-individuals only) which can be downloaded from our website. KYC acknowledgement is mandatory for all investors w.e.f. 01/01/2011. However in the case of Micro SIP/Purchase of an individual investor (if the total amount of investment including SIP is upto ₹ 50,000 per investor in any rolling 12-month period or in a financial year) instead of PAN/Aadhar proof other approved document can be accepted.

Distributor ARN	Sub Distributor ARN	Internal Sub-Broker/Sol ID	EJIN*	Employee Code	PMRN / RIA Code

Folio No																			
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Transaction charges For ₹. 10,000 and above:
☐ Existing Investor-₹.100
☐ New Investor-₹.150

* Declaration for "Execution only" transaction (only where EJIN box is left blank) ☐ I/We hereby confirm that the EJIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

First/Sole Applicant/ Guardian	Second Applicant	Third Applicant
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Upfront commission shall be paid directly by the investor to the AMFI-registered distributors based on the investors' assessment of various factors including services rendered by the distributor.

Name of First/Sole Applicant Gender* ☐ Male ☐ Female ☐ Others	Mobile*	PIN Code*
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E-Mail

Name of Second Applicant Gender* ☐ Male ☐ Female ☐ Others
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Name of Third Applicant Gender* ☐ Male ☐ Female ☐ Others

Mobile No*	Incase of minor, provide guardian mobile no	Email ID*	Incase of minor, provide guardian email ID
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Please tick the Family Code for the Mobile Number and Email ID provided

Mobile: ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian Email: ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian

Permanent Account Number (PAN)* **Aadhaar Card Number* / PEKRN** **Central KYC Number** ☐ CKYC Proof attached (Mandatory)

First/Sole Applicant/Guardian																			
Second Applicant																			
Third Applicant																			

You will receive an account statement by e-mail. If you wish to receive a physical statement please tick ☐

Transferring funds from Scheme	Sundaram	Plan: ☐ Regular ☐ Direct ☐ Others:.....
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Option: Income Distribution cum Capital Withdrawal (IDCW) ☐ Payout ☐ Reinvestment ☐ Transfer ☐ Growth

Transferring funds to Scheme	Sundaram
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Plan: ☐ Regular ☐ Direct	Option: IDCW ☐ Payout ☐ Reinvestment ☐ Transfer ☐ Growth	Each STP Amount ₹																	
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STP Frequency	☐ Daily ☐ Weekly (every Wednesday) ☐ Monthly ☐ Quarterly ("Read the Terms and Conditions for information related to Minimum Amount/ Installments - Point no. 3)
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STP Date - Any Day (for Monthly / Quarterly frequency)	STP Period including Perpetual	OR	☐ Till further notice*	(*The end date - 01/12/2099 as end date. If not specified by the investor. This will be considered in both Online and physical modes) (refer Guide to investing through SIP)	Request Date
D D M M	From To Date		0 1 1 2 2 0 9 9		D D M M Y Y Y Y

Turn overleaf for Terms & Conditions/Declaration & Signature (Mandatory) → → →

Acknowledgement	Request Date: D D M M Y Y Y Y	Time Stamp/Seal
Folio No	☐ Amount.....	
From:	To:	
Plan: ☐ Regular ☐ Direct ☐ Others	Plan: ☐ Regular ☐ Direct Options: IDCW ☐ Payout	
Options: IDCW ☐ Payout ☐ Reinvestment ☐ Transfer ☐ Growth ☐ Bonus	☐ Reinvestment ☐ Transfer ☐ Growth	

Contact No. 1860 425 7237 (India) +91 40 2345 2215 (NRI) • SMS SFUND to 56767 • E-mail: customerservices@sundarammutual.com (NRI): nriseservices@sundarammutual.com

Declaration: I/We • having read and understood the contents of the Statement of Additional Information/Scheme Information Document/addenda issued to the SID and KIM till date • hereby apply for units under the scheme(s) as indicated in the application form • agree to abide by the terms, conditions, rules and regulations of the scheme(s) • agree to the terms and conditions for Auto Debit • have not received nor been induced by any rebate or gifts, directly or indirectly in making this investment • do not have any existing Micro SIPs/investments which together with the current application will result in the total investments exceeding ₹. 50,000 in a financial year or a rolling period of twelve months (applicable for PAN/Aadhar exempt category of investors). The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

Applicable to NRIs only: Please (✓) ☐ I/We confirm that I am/We are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my/our Non-Resident External/Ordinary Account/FCNR Account on a ☐ Repatriation Basis ☐ Non-Repatriation Basis.

I/We hereby declare that all the particulars given herein are true, correct and complete to the best of my/our knowledge and belief. I/ We further agree not to hold Sundaram Asset Management, its sponsor, their employees, authorised agents, service providers, representatives of the distributors liable for any consequences/losses/costs/damages in case of any of the above particulars being false, incorrect or incomplete or in case of my/our not intimating/delay in intimating any changes to the above particulars. I/We hereby authorise Sundaram Asset Management to disclose, share, remit in any form, mode or manner, all/any of the information provided by me/ us, including all changes, updates to such information as and when provided by me/us, to any Indian or foreign governmental or statutory or judicial authorities/agencies, the tax/revenue authorities and other investigation agencies and SEBI registered intermediaries without any obligation of advising me/us of the same. I/We hereby agree to provide any additional information/documentation that may be required in connection with this application.

Signature									
First / Sole Applicant / Guardian									
Second Applicant									
Third Applicant									
Request Date	D	D	M	M	Y	Y	Y	Y	Y

Investors are requested to note the following terms and conditions with respect to availing STP facility:

1. Schemes available

As specified in respective Scheme Information Document

2. Date of transfer

Any day STP is eligible for cycle dates of 1st to 31st

i. For the dates from 1 to 28:

- STP shall be processed on the given day if that day is a business day. In case the specified date is a non-business day, it shall be processed on the next business day.

ii. For the dates from 29 to 31:

- If the selected date is available in that month and it is a business day, any day STPs should be triggered for processing on that date. If the selected date is not a business day, any day STP shall be triggered for processing on the next business date.
- If the selected date itself is not available in that month, any day STP shall be triggered for processing on its previous business day.
- For example, if 29 is not available in the month of February, any day STP shall be triggered for processing on the last business day of February.
- For example, if 31 is not available in any of the months, any day STP shall be triggered for processing on 30th of that month, provided it is a business day, else last business day of the month shall be considered for any day STP processing.

3. Minimum amount of transfer*

Minimum Any Day STP Amount/instalment: As stated in the respective Scheme Information Document (SID) / Key Information Memorandum (KIM) and satisfying the minimum criteria of source and target schemes.

4. Load Structure, for all frequencies, of the Transferor Scheme & Transferee Schemes as on the date of enrolment of STP shall be applicable.

5. Discontinuation of STP, for all frequencies

- When the outstanding balance in “transferor Scheme” does not cover any of the STP instalment amount, all outstanding units will be liquidated and STP will be effected for such outstanding balance (subject to the transfer amount satisfying the minimum investment criteria in the destination scheme) and STP will be terminated for subsequent instalments.
- STP will be terminated if all units from the Transferor Scheme are pledged or upon receipt of intimation of death of first/sole unit holder.
- Investors can also choose to terminate the STP by giving a written notice of at least 7 Business Days in advance to the Official Points of Transactions and accordingly, termination of STP shall be effected on receipt of valid request.

6. The Trustee / AMC reserve the right to change / modify the terms of the STP or withdraw this facility from time to time.

7. Please refer to the respective scheme KIM / SID for more details.

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www.sundarammutual.com

Sundaram Mutual Fund