

Application No.

Please read the instructions and refer to SID, KIM and Addendums issued for the respective schemes and SAI of Capitalmind Mutual Fund.

1. DISTRIBUTOR INFORMATION* (Please refer instruction no. 1)

Broker Code/ ARN / RIA** / PMRN** Code	Sub Broker /Agent's ARN Code	Bank Branch Code	Internal Code for Sub - Agent / Employee	EUIN*	ISC Date Timestamp & Reference No.
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

**By mentioning RIA/PMRN code, I/We authorize you to share with the Investment Adviser / Portfolio Manager the details of my/our transaction in the scheme (s) of Capitalmind Mutual Fund. (Please ✓ if applicable)
*In case the EUIN box has been left blank, please refer the point related to EUIN in the Declaration & Signatures section overleaf.

2. UNIT HOLDING OPTION (Mandatory) PHYSICAL MODE DEMAT MODE* (Please refer instruction no. 7)

*Demat Account details are mandatory if the investor wishes to hold the units in Demat Mode. Please ensure that the sequence of names as given in the order of the applicants matches as per the Depository Details. In case of any ambiguity or validation failure with the depository details, AMC will allot units in the Physical Mode.

National Securities Depository Limited				Central Depository Services (India) Limited			
DP Name - <input type="text"/>				DP Name - <input type="text"/>			
DP ID	I	N	Beneficiary A/c No.	DP ID			Beneficiary A/c No.
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Enclosures - Please Client Masters List (CML) Transaction cum Holding Statement Delivery Instruction Slip (DIS)

3. MODE OF HOLDING (Mandatory) (Please refer instruction no. 5)

(In case of Demat Purchase, Mode of Holding should be same as in Demat Account) Single Joint Anyone or Survivor (Default)

4. APPLICANT'S NAME AND INFORMATION (Mandatory) to be filled in BLOCK LETTERS. (Name and DOB shall be as per Income Tax Records) (Please refer instruction no. 3)

Folio No. Gender Male Female Others

Name of Sole / 1st Applicant Mr. / Ms. / M/s. First Middle Last

PAN/PEKRN CKYC No. Date of Birth

Mobile No. Email ID

The Email ID belongs to (Mandatory Please) Self Spouse Dependent Children Dependent Siblings Dependent Parents Guardian PMS Custodian POA
The Mobile No. belongs to (Mandatory Please) Self Spouse Dependent Children Dependent Siblings Dependent Parents Guardian PMS Custodian POA

The default Communication mode is E-mail only, if you wish to receive following document(s) via physical mode: (please ✓ here) Account Statement Annual Report/Abridged summary Other Statutory Information. (We would recommend you to choose an online mode to help us save paper & contribute towards a greener & cleaner environment.)

LEI Code Valid upto (Legal Entity Identifier Number is Mandatory for transaction value of INR 50 crore and above for Non-Individual investors. Refer instruction no.3a)

Tax Status (Mandatory, Please) Resident Individual NRI-Repatriation NRI-Non Repatriation Partnership Trust HUF AOP
 Minor through guardian Company FIIs PIO Body Corporate Society/Club Sole Proprietorship
 Non Profit Organisation Financial Institution NBFC Bank Others (Please Specify)

Non Profit Organization [NPO] Yes or No
We are falling under "Non-Profit Organization" [NPO] which has been constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), and is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013). If yes, please quote the Registration No. provided by DARPAN portal of NITI Aayog:

If not, please register immediately and confirm with the above information. Failure to get above confirmation or registration with the portal as mandated, wherever applicable will force MF / AMC/ RTA to register your entity name in the above portal and may report to the relevant authorities as applicable. We am/are aware that we may be liable for it for any fines or consequences as required under the respective statutory requirements and authorize you to deduct such fines/charges under intimation to me/us or collect such fines/charges in any other manner as might be applicable

GUARDIAN DETAILS (In case First / Sole Applicant is minor) / CONTACT PERSON- DESIGNATION / POA HOLDER (In case of Non- Individual Investors) [Name and DOB shall be as per IT Records]

Mr. / Ms. First Middle Last

PAN (Mandatory) CKYC No. Gender Male Female Others

Mobile No. Email ID

Designation/Relationship with Minor Date of Birth/Date of Incorporation (Mandatory)

Date of Birth Proof for minors (Any One)

Birth Certificate Marks Sheet (HSC/ICSE/CBSE) School Leaving Certificate Passport Others

ACKNOWLEDGEMENT SLIP

Capitalmind Asset Management Private Limited 2323, Prakash Arcade 1st Floor, 17th Cross HSR Layout Sector 1, Bengaluru, Karnataka - 560102.

Received from Mr. / Ms. Date:

Application No.

Collection Centre /
Capitalmind AMC Stamp & Signature

5a. MAILING ADDRESS (Address as per KYC) (Mandatory)

Local Address of 1st Applicant _____

 _____ City _____ Dist. _____
 State _____ Pin Code _____ Telephone _____ Mobile _____

5b. OVERSEAS CORRESPONDENCE ADDRESS (Mandatory for NRI/ FIIApplicant)

[Please provide Full Address. P. O. Box address is not sufficient] _____

 _____ Zip Code: _____ Telephone _____ Mobile _____

6a. SECOND APPLICANT'S DETAILS* (In case of Minor, there shall be no joint holders) [Name and DOB shall be as per Income Tax Records] (all fields mandatory if applicable)

Name Mr. / Ms. (Name as per IT Records) _____
 First Middle Last
 PAN _____ CKYC No. _____ Gender Male Female Others
 Mobile No. _____ Email ID _____ Date of Birth

D	D	M	M	Y	Y	Y	Y
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 The Email ID belongs to (Mandatory Please) Self Spouse Dependent Children Dependent Siblings Dependent Parents Guardian PMS Custodian POA
 The Mobile No. belongs to (Mandatory Please) Self Spouse Dependent Children Dependent Siblings Dependent Parents Guardian PMS Custodian POA
 Tax Status (Mandatory, Please) Resident Individual NRI-Repatriation NRI-Non Repatriation

6b. THIRD APPLICANT'S DETAILS* (In case of Minor, there shall be no joint holders) [Name and DOB shall be as per Income Tax Records] (all fields mandatory if applicable)

Name Mr. / Ms. (Name as per IT Records) _____
 First Middle Last
 PAN _____ CKYC No. _____ Gender Male Female Others
 Mobile No. _____ Email ID _____ Date of Birth

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

 The Email ID belongs to (Mandatory Please) Self Spouse Dependent Children Dependent Siblings Dependent Parents Guardian PMS Custodian POA
 The Mobile No. belongs to (Mandatory Please) Self Spouse Dependent Children Dependent Siblings Dependent Parents Guardian PMS Custodian POA
 Tax Status (Mandatory, Please) Resident Individual NRI-Repatriation NRI-Non Repatriation

7. KYC Details (Mandatory)

(Please refer instruction no. 3e)

First Applicant: Private Sector Service Public Sector Service Government Service Business Professional Agriculturist Retired
 Housewife Student Forex Dealer Others (please specify) _____
Second Applicant: Private Sector Service Public Sector Service Government Service Business Professional Agriculturist Retired
 Housewife Student Forex Dealer Others (please specify) _____
Third Applicant: Private Sector Service Public Sector Service Government Service Business Professional Agriculturist Retired
 Housewife Student Forex Dealer Others (please specify) _____

Gross Annual Income (Mandatory)

First Applicant: Below 1 Lac 1-5 Lacs 5-10 Lacs 10-25 Lacs >25 Lacs-1 crore >1 crore
 OR Net worth* (for Non-Individuals) ₹ (please specify) _____ as on

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

 (Not older than 1 year)
Second Applicant: Below 1 Lac 1-5 Lacs 5-10 Lacs 10-25 Lacs >25 Lacs-1 crore >1 crore
 OR Net worth* (for Non-Individuals) ₹ (please specify) _____ as on

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

 (Not older than 1 year)
Third Applicant: Below 1 Lac 1-5 Lacs 5-10 Lacs 10-25 Lacs >25 Lacs-1 crore >1 crore
 OR Net worth* (for Non-Individuals) ₹ (please specify) _____ as on

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

 (Not older than 1 year)

For Individuals (Mandatory if applicable)

(Please refer instruction no. 3d)

First Applicant: I am Politically Exposed Person (PEP) I am Related to Politically Exposed Person (RPEP) Not applicable
Second Applicant: I am Politically Exposed Person (PEP) I am Related to Politically Exposed Person (RPEP) Not applicable
Third Applicant: I am Politically Exposed Person (PEP) I am Related to Politically Exposed Person (RPEP) Not applicable

For Non Individuals, if involved in any of the below mentioned services, please the appropriate option : (Mandatory if applicable)

(i) Foreign Exchange / Money Changer Services Yes No (ii) Gaming / Gambling / Lottery / Casino Services Yes No (iii) Money Lending / Pawning Yes No

SR. NO.	SCHEME NAME /PLAN	OPTION	NET AMOUNT PAID (₹)	PAYMENT DETAILS	
				Cheque/UTR No.(in case of NEFT/RTGS)	Bank and Branch
1.	Capitalmind <input type="checkbox"/> Regular <input type="checkbox"/> Direct	<input type="checkbox"/> Growth			

8. BANK ACCOUNT DETAILS FOR PAYOUT (Please attach copy of cancelled cheque) (Mandatory)

(Please refer instruction no. 4)

Name of the Bank _____

Account No. _____ Account Type SB CA SB-NRE SB-NRO Others _____

Bank Branch _____ Address _____

Bank City _____ State _____ Pincode _____

MICR Code (9 digits) _____ IFSC Code for NEFT / RTGS _____ [§]This is an 11 Digit Number, kindly obtain it from your cheque copy or Bank Branch.

9. INVESTMENT & PAYMENT DETAILS* The name of the first/ sole applicant must be pre-printed on the cheque. (Mandatory)

(Please refer instruction no. 6)

Scheme Name	Plan	Option
Capitalmind	<input type="checkbox"/> Regular Plan <input type="checkbox"/> Direct Plan	<input type="checkbox"/> Growth (Default)

Payment Type (Please ✓)	<input type="checkbox"/> Non-Third Party	<input type="checkbox"/> Third Party Payment (Pls fill third party declaration form)
Transaction Type	<input type="checkbox"/> Lumpsum (refer SID for minimum amount)	<input type="checkbox"/> SIP*(minimum amount 1000)
Amount (INR)		
Mode of Payment (Please ✓) <input type="checkbox"/> Cheque <input type="checkbox"/> NEFT / RTGS <input type="checkbox"/> OTM (One Time Mandate)(This facility is only applicable for Existing Investors who have an existing OTM registered in the folio.)	Cheque / UTR No.	Cheque / UTR No.
Date		
Drawn on Bank		
A/c Number		

Cheque should be drawn in favour of scheme name e.g. "Capitalmind Flexi Cap Fund"/ "Capitalmind Liquid Fund"

*If you wish to register SIP, kindly fill the relevant SIP Registration & OTM Debit Mandate Form.

Reason for investment House Children's Education Children's Marriage Car Retirement Others (please specify) _____

Investment horizon Please (✓) anyone 5 Years 10 Years 15 Years 20 Years 25 Years

10. FATCA AND CRS DETAILS FOR INDIVIDUALS (Including Sole Proprietor) (Mandatory)

(Please refer instruction no. 8)

Non-Individual investors should mandatorily fill separate FATCA and Ultimate Beneficial Ownership (UBO) Form. The below information is required for all applicants/guardian

Particulars	Place/City of Birth	Country of Birth	Country of Citizenship / Nationality
First Applicant / Guardian			<input type="checkbox"/> Indian <input type="checkbox"/> Others (Please specify) _____
Second Applicant			<input type="checkbox"/> Indian <input type="checkbox"/> Others (Please specify) _____
Third Applicant			<input type="checkbox"/> Indian <input type="checkbox"/> Others (Please specify) _____

Are you a tax resident (i.e., are you assessed for Tax) in any other country outside India? Yes No [Please tick (✓)]

If 'YES' please fill for ALL countries (other than India) in which you are a Resident for tax purpose i.e. where you are a Citizen/Resident/Green Card Holder/Tax Resident in the respective countries.

Particulars	Country of Tax Residency	Tax Identification Number or Functional Equivalent	Identification Type (TIN or other please specify)	If TIN is not available please tick (✓) the reason A, B or C (as defined below)
First Applicant / Guardian				Reason : A <input type="checkbox"/> B <input type="checkbox"/> C <input type="checkbox"/>
Second Applicant				Reason : A <input type="checkbox"/> B <input type="checkbox"/> C <input type="checkbox"/>
Third Applicant				Reason : A <input type="checkbox"/> B <input type="checkbox"/> C <input type="checkbox"/>

- Reason A ⇒ The country where the Account Holder is liable to pay tax does not issue Tax Identification Numbers to its residents.
- Reason B ⇒ No TIN required (Select this reason only if the authorities of the respective country of tax residence do not require the TIN to be collected)
- Reason C ⇒ Others, please state the reason thereof: _____

*Address Type of Sole/1st Holder:	*Address Type of 2nd Holder:	*Address Type of 3rd Holder:
<input type="checkbox"/> Residential <input type="checkbox"/> Registered Office <input type="checkbox"/> Business	<input type="checkbox"/> Residential <input type="checkbox"/> Registered Office <input type="checkbox"/> Business	<input type="checkbox"/> Residential <input type="checkbox"/> Registered Office <input type="checkbox"/> Business

*If the address type is not ticked the default will be considered as residential.

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

13. DECLARATION AND SIGNATURES (Mandatory)

(Please refer instruction no. 10)

I/We hereby confirm and declare as under- I/We have read and understood the contents of the Statement of Additional Information of Capitalmind Mutual Fund and the Scheme Information Document(s)/Key Information memorandum of the respective Scheme(s) and Addenda thereto, issued from time to time and the Instructions. I/We, hereby apply to the Trustee of Capitalmind Mutual Fund for allotment of units of the Scheme(s) of Capitalmind Mutual Fund, as indicated above and agree to abide by the terms, conditions, rules and regulations of the relevant Scheme(s). I/We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I/We declare that I am/We are authorised to make this investment and the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme(s) is/are being recommended to me/us. I/We declare that the information given in this application form is correct, complete and truly stated. In the event of my/our not fulfilling the KYC process to the satisfaction of the AMC/Capitalmind Mutual Fund, I/We hereby authorise the AMC/Capitalmind Mutual Fund to redeem the units against the funds invested by me/us at the applicable NAV as on the date of such redemption. I/We agree to notify Capitalmind Asset Management Private Limited immediately in the event the information in the self-certification changes. For investors investing in Direct Plan: I/We hereby agree that the AMC has not recommended or advised me/us regarding the suitability or appropriateness of the product/scheme/plan. Applicable to NRIS: I/We confirm that I am/We are Non-Resident(s) of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my/our Non-Resident External / Ordinary Account/ FCNR Account (s). FATCA and CRS Declaration: I/We hereby acknowledge and confirm that the information provided in this form is true and correct to the best of my/our knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/We shall be liable for it. I/We also undertake to keep you informed in writing about any changes/modification to the above information in future and also undertake to provide any other additional information as may be required at your end. I/We hereby authorise you to disclose, share, remit in any form, mode or manner, all/any of the information provided by me/us, including all changes, updates to such information as and when provided by me/us to Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees ('the Authorised Parties') or any Indian or foreign governmental or statutory or judicial authorities/agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax /revenue authorities and other investigation agencies without any obligation of advising me/us of the same.

Please ✓ : if the EUIN space is left blank: I / We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

Signature(s) should be as it appears in the Folio / on the Application Form and in the same order. In case the mode of holding is joint, all Unit holders are required to sign.

Sign of 1st Applicant / Guardian /
Authorised Signatory / POA

Sign of 2nd Applicant /
Authorised Signatory / POA

Sign of 3rd Applicant /
Authorised Signatory / POA